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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul M Stein et al.

Docket: P9484.01

Serial No.: 10/040,143

Group Art: 2839

Filed: January 3, 2002

Examiner: Unknown

Title: MEDICAL LEAD AND LEAD CONNECTOR SYSTEM

Box DAC

Assistant Commissioner for Patents

Washington, D.C. 20231

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 CFR 1.47(a)

This is in response to the Decision Refusing Status Under 37 CFR 1.47(a) ("Decision"), mailed August 16, 2002 and having a two-month period for reply set to expire on October 16, 2002. A Petition for a One-Month Extension of Time, along with authorization to charge the appropriate fee for same to Deposit Account No. 13-2546 is filed concurrently herewith, extending the period for reply to November 15, 2002.

The Declaration filed May 1, 2002 in response to the Notice to File Missing Parts, mailed February 1, 2002, Douglas S. Hine and Robert S. Schwartz were inadvertently named as inventors without deceptive intent. Applicants mistakenly filed a Petition Under 1.47 requesting removal of Douglas S. Hine as a noncooperative inventor. However, it was intended that since Douglas S. Hine and Robert S. Schwartz were inadvertently included as inventors without deceptive intent, Mr. Hine or Mr. Schwartz should be removed. Accordingly, a Petition for Correction of Inventorship Under 37 CFR 1.48(a) has been filed concurrently herewith, requesting removal of Douglas S. Hine and Robert S. Schwartz as inventors. In addition, a newly signed Declaration and Power of Attorney by the actual inventors has been filed herein.

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OFFICE OF PETITIONS



Therefore, it is respectfully requested that the petition be granted.

Respectfully submitted,

Date: November 12, 2002

A handwritten signature in black ink, appearing to read "Michael C. Soldner", written over a horizontal line.

Michael C. Soldner, Reg. No. 41,455
MEDTRONIC, INC.
7000 Central Avenue N.E.
Minneapolis, Minnesota 55432
(Telephone) 763-514-4842
(Facsimile) 763-514-6982



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul M. Stein et al.

Examiner: Unknown

Serial No.: 10/040,143

Group Art Unit: 2839

Filed: January 3, 2002

Atty. Docket No.: P-9484.01

Title: MEDICAL LEAD AND LEAD
CONNECTOR SYSTEMPETITION FOR CORRECTION OF
INVENTORSHIP UNDER 37 C.F.R §1.48Box DAC
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicant(s) respectfully request(s) to correct the inventorship in the above-identified patent application. An error in naming the correct inventors occurred without deceptive intent. Specifically, Douglas S. Hine and Robert S. Schwartz were inadvertently named as inventors, and applicants hereby request to remove their names and only name Paul M. Stein, Timothy W. Holleman, Andrew J. Ries, Harry Schroder, Jordon D. Honeck, John L. Sommer and Vicki L. Bjorklund as inventors.

The Commissioner is hereby authorized to charge deposit account number 13-2546 in the sum of \$130.00 for the fee set forth in 37 C.F.R. §1.20(b). Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2546.

Respectfully submitted,

Date:

November 12, 2002

Michael C. Soldner

Reg. No. 41,455

Telephone: (763) 514-4842

Facsimile: (763) 514-6982

11/19/2002 AWONDAF1 00000067 132546 10040143

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OFFICE OF PETITIONS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul M. Stein et al. Examiner: Unknown
Serial No.: 10/040,143 Group Art Unit: 2839
Filed: January 3, 2002 Atty. Docket No.: P-9484.01
Title: MEDICAL LEAD AND LEAD
CONNECTOR SYSTEM

STATEMENT OF INADVERTANTLY NAMED INVENTOR

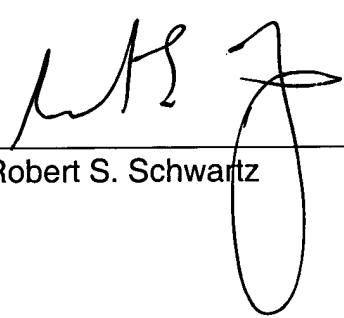
Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

I, Robert S. Schwartz, am one of the current named inventors of the above-identified patent application. I hereby declare that I have been inadvertently named as an inventor and that the error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true.

Date: 10/21/02



Robert S. Schwartz

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OFFICE OF PETITIONS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul M. Stein et al.

Examiner: Unknown

Serial No.: 10/040,143

Group Art Unit: 2839

Filed: January 3, 2002

Atty. Docket No.: P-9484.01

Title: MEDICAL LEAD AND LEAD
CONNECTOR SYSTEM

STATEMENT OF INADVERTANTLY NAMED INVENTOR

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

I, Douglas S. Hine, am one of the current named inventors of the above-identified patent application. I hereby declare that I have been inadvertently named as an inventor and that the error in inventorship occurred without deceptive intention on my part.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true.

Date: 25 OCT 02



Douglas S. Hine

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NOV 15 2002

OFFICE OF PETITIONS



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Paul M. Stein et al. Examiner: Unknown
Serial No.: 10/040,143 Group Art Unit: 2839
Filed: January 3, 2002 Atty. Docket No.: P-9484.01
Title: MEDICAL LEAD AND LEAD CONNECTOR SYSTEM

COMMUNICATION FROM ASSIGNEE

Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

Medtronic, Inc., a corporation organized and existing under the laws of the State of Minnesota and having a place of business at 710 Medtronic Parkway, Minneapolis, Minnesota 55432, represents that it is the assignee of rights in U.S. Serial No. 10/040,143, filed January 3, 2002, and entitled "Medical Lead and Lead Connector System".

Medtronic, Inc. hereby consents to the deletion of Douglas S. Hine and Robert S. Schwartz who were inadvertently named as inventors of the above-identified application.

Attached hereto is a copy of the executed assignment document for the subject application, which was submitted to the U.S. Patent and Trademark Office for recordation on May 1, 2002.

I hereby declare that I am authorized to act on behalf of the assignee, that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date:

November 12, 2002

By: Michael C. Soldner
Title: Patent Counsel

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NOV 15 2002

STATE OF MINNESOTA

ss.

COUNTY OF ANOKA

OFFICE OF PETITIONS

On this 12th day of November, 2002, before me personally appeared Michael C. Soldner, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Molly Chlebeck
Notary Public

ASSIGNMENT RECORDATION FORM COVER SHEET
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul M. Stein
Timothy W. Holleman
Andrew J. Ries
Harry Schroder
Jordon D. Honeck
John L. Sommer
Vicki L. Bjorklund

2. Name and address of receiving party(ies):

Name: MEDTRONIC, INC.
Internal Address: M.S. LC 340
Street Address: 710 Medtronic Parkway
City: MINNEAPOLIS State: MN Zip: 55432

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: 03/06/2002 Paul M. Stein
04/22/2002 Timothy W. Holleman
03/15/2002 Andrew J. Ries
04/11/2002 Harry Schroder
03/05/2002 Jordon D. Honeck
03/06/2002 John L. Sommer
03/05/2002 Vicki L. Bjorklund

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 10/040,143

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Michael C. Soldner
Address: MEDTRONIC, INC.
710 Medtronic Parkway
M.S. LC 340
Minneapolis, Minnesota 55432

6. Total number of applications and patents involved:..... 1

7. Total fee (37 CFR 3.41):.....\$40.00

☐ Enclosed
☒ Authorized to be charged to deposit account


8. Deposit Account Number: 13-2546
(Attach duplicate copy of this page)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael C. Soldner
Name of Person Signing


Signature

May 1, 2002
Date

Total number of pages including cover sheet, attachments and document: 9

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of information systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project (0651-0011), 1Washington, D.C. 20503.

ASSIGNMENT

WHEREAS, WE, Paul M. Stein, Timothy W. Holleman, Andrew J. Ries, Harry Schroder, Jordon D. Honeck, John L. Sommer and Vicki L. Bjorklund, are the inventors of MEDICAL LEAD AND LEAD CONNECTOR SYSTEM for which we have executed an application for filing in the United States Patent and Trademark Office preparatory to obtaining Letters Patent of the United States on March 5, 2002, therefor; and

WHEREAS, MEDTRONIC, INC. a corporation organized and existing under the laws of the State of Minnesota and having a principal place of business at 710 Medtronic Parkway N.E., Minneapolis, Minnesota 55432-5640, hereinafter referred to as "Corporation," is desirous of acquiring the entire right, title and interest in and to said invention for the United States and for all foreign countries and in and to any and all foreign and domestic Letters Patent which may be granted therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, we have sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto Corporation, its successors and assigns, the entire right, title and interest in and to said invention and the entire right, title and interest in and to any and all Letters Patent of the United States and any foreign countries which may be granted therefor including our rights under the International Convention for the Protection of Industrial Property, and in and to any and all extensions, divisions, continuations, continuations-in-part or reissues of said Letters Patent that may be granted, the same to be held and enjoyed by Corporation for its own use and behoof and use and behoof of its successors and assigns to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made;

AND, for the consideration aforesaid, we materially represent to Corporation, its successors and assigns, that at the time of the execution and delivery of these presents, we are the sole lawful owners of the entire right, title and interest in and to the invention, application and Letters Patent above mentioned, and that the same are unencumbered, and that we have good right and lawful authority to sell and convey the same in the manner herein set forth;

AND, for the consideration aforesaid, we hereby individually covenant and agree to and with Corporation, its successors and assigns, that whenever its counsel or the counsel of its successors or assigns, learned in the law, shall advise that an amendment or division of, or continuation or any continuation-in-part thereof, or any other proceeding in connection with the filing or prosecution of said domestic or foreign patent applications, including interference proceedings, is lawful and desirable, or that a reissue of extension of said Letters Patent is lawful and desirable, we, or our executors, administrators or assigns will sign all papers and drawings, take all rightful oaths, and do all acts necessary or required to be done for the procurement of valid Letters Patent for said invention, or for the reissue or extension of the same, without charge to Corporation, its successors or assigns, but at Corporation's expense.

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

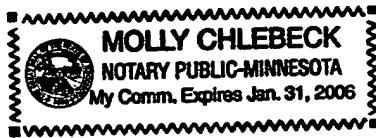
IN WITNESS WHEREOF, I have hereunto set my hand on this sixth day of March, 2002.

Paul M. Stein
Paul M. Stein

On this 6th day of March, 2002, before me personally appeared Paul M Stein, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.

Molly Chlebeck
Notary Public



I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 22 day of Apr, 2002.

Timothy W. Holleman
Timothy W. Holleman

On this 22nd day of April, 2002, before me personally appeared Timothy W. Holleman, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Molly Chlebeck
Notary Public

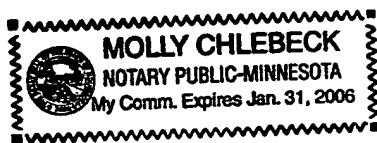
I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 15th day of MARCH, 2002.

Andrew J. Ries
Andrew J. Ries

On this 15th day of March, 2002, before me personally appeared Andrew J. Ries, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Molly Chlebeck
Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 11th day of April, 2002.


Harry Schroder

On this 1st day of April, 2002, before me personally appeared Harry Schroder, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.


Notary Public



I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

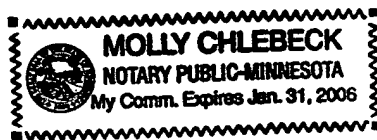
IN WITNESS WHEREOF, I have hereunto set my hand on this 5th day of March, 2002.

Jordan D. Honeck
Jordan D. Honeck

On this 5th day of March, 2002, before me personally appeared Jordan D. Honeck, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.

Molly Chlebeck
Notary Public



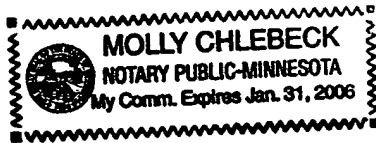
I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 6th day of March, 2002.

John L. Sommer
John L. Sommer

On this 6th day of March, 2002, before me personally appeared John L. Sommer, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.



Molly Chlebeck
Notary Public

I hereby request the Honorable Commissioner of Patents and Trademarks to issue the Letters Patent to Corporation in accordance with this instrument.

IN WITNESS WHEREOF, I have hereunto set my hand on this 5th day of March, 2002.

Vicki L. Bjorklund
Vicki L. Bjorklund

On this 5th day of March, 2002, before me personally appeared Vicki L. Bjorklund, to me known to be the person described in and who executed the foregoing instrument and acknowledged that s/he executed the same as his/her free act and deed.

IN WITNESS WHEREOF, I have hereunto affixed my official signature with the seal of my office, the day and year above written.

Molly Chlebeck
Notary Public

